

# Probabilistic Termination and Composability of Cryptographic Protocols\*

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\* Slides by Ran Cohen

# Motivating Example

## Coin flipping



- Stand-alone:  $\Pr(\text{heads}) = \frac{1}{2}$   
Expected no. of coin tosses for *heads* outcome?  
 $2$
- Flipping in parallel  $n$  coins:



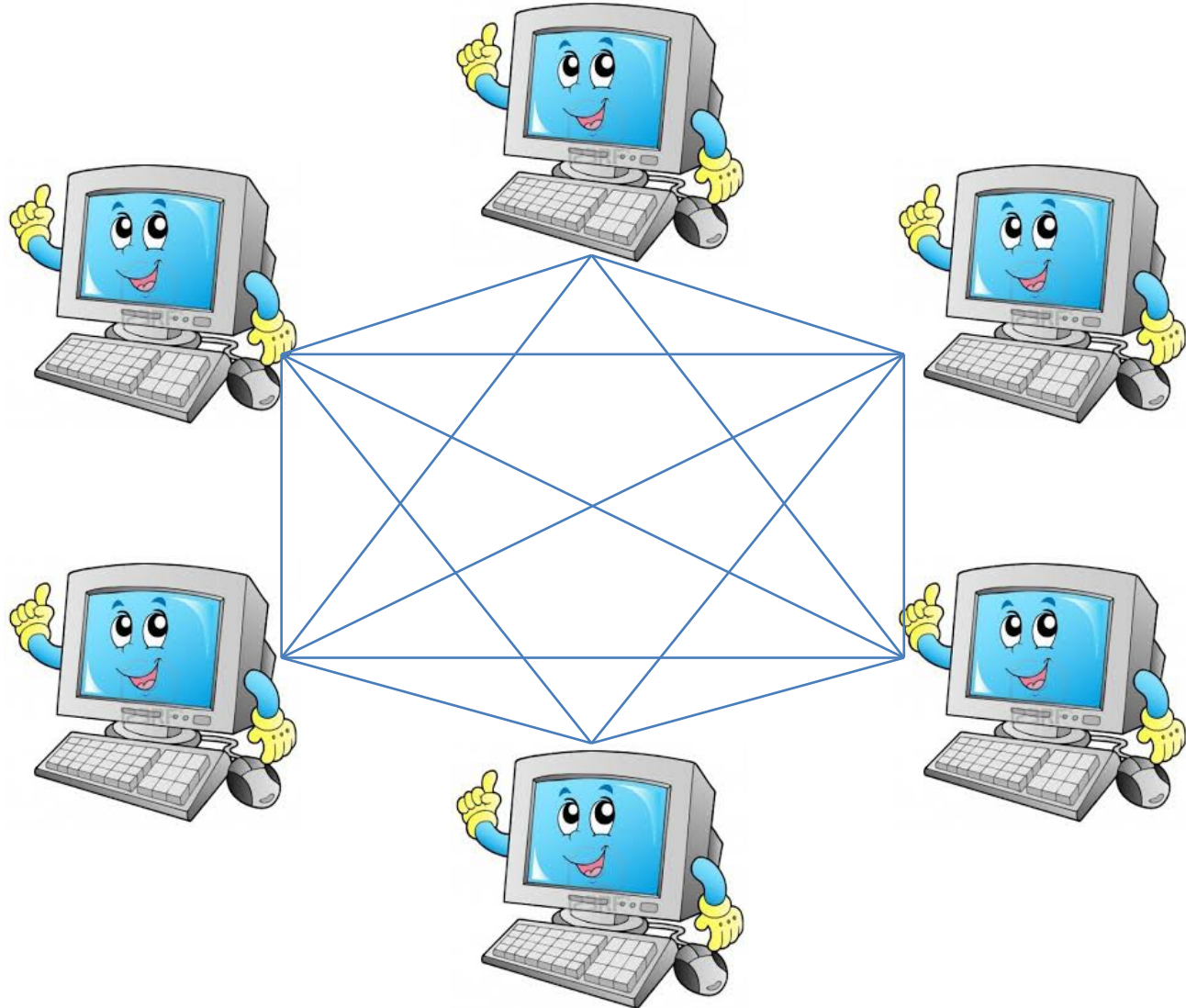
Expected no. of (parallel) coin tosses until all *heads*?

$$O(\log n) \quad (\Theta(\log n))$$

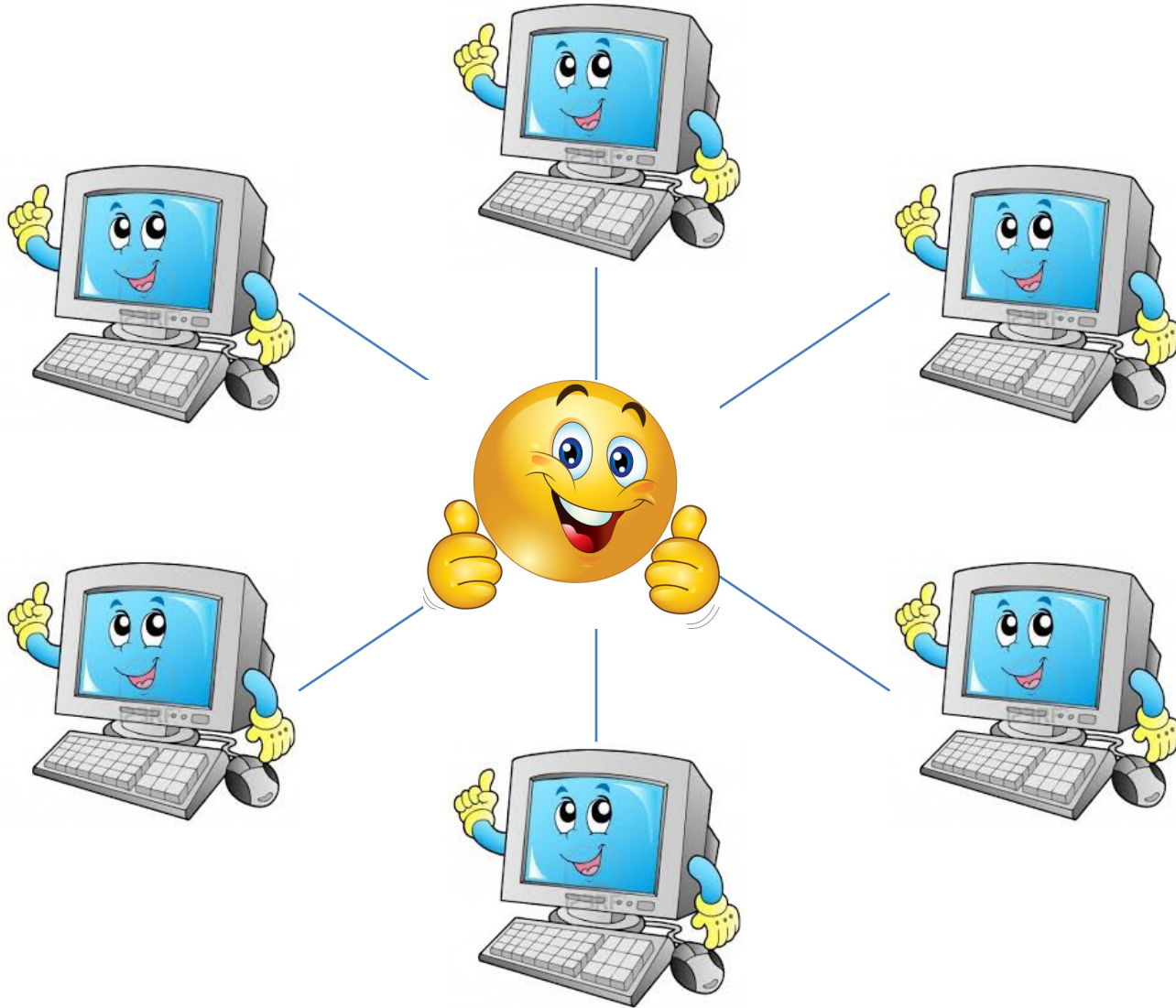
# Motivation Example (2)

**Fact:** The mathematical expectation of the maximum of  $n$  random variables does not necessarily equal the maximum of their expectations [BE'03,Eis'08]

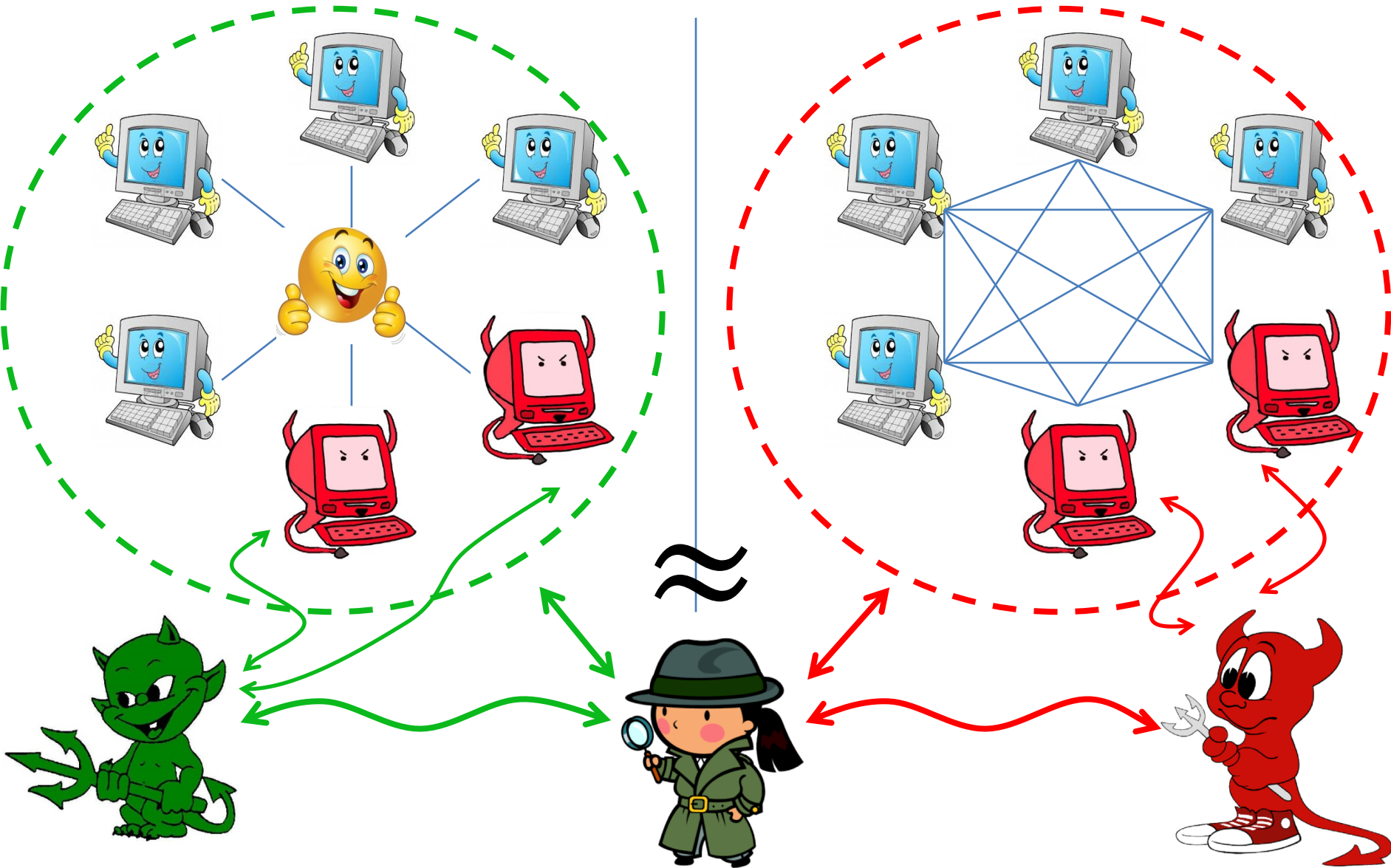
# Secure Multiparty Computation (MPC)



# Ideal World

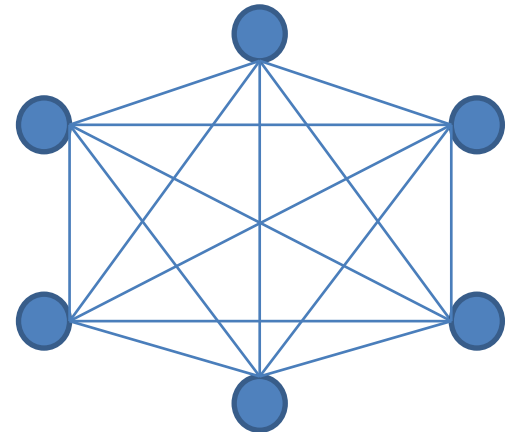


# Simulation-based Security

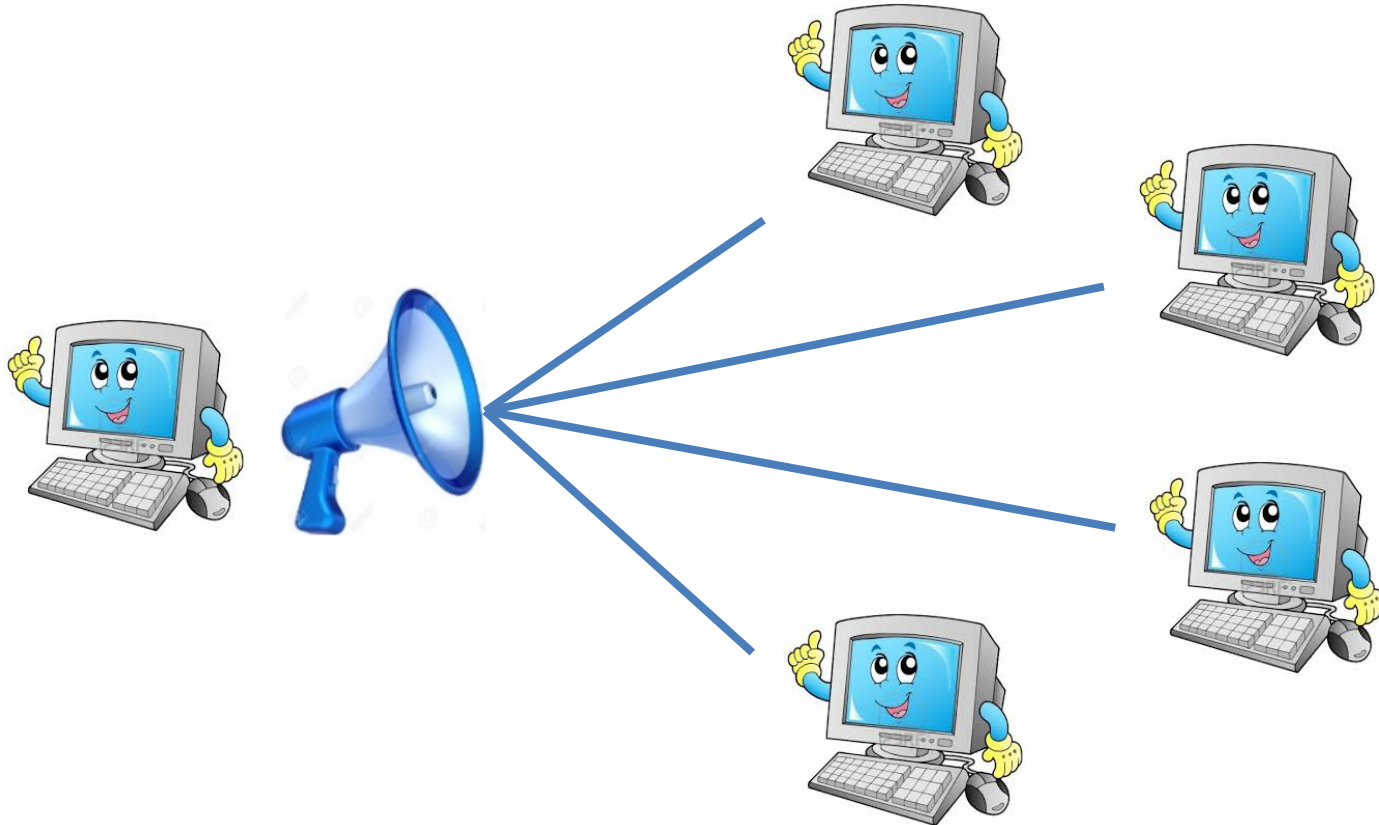


# Communication Model

- Point-to-point model
  - Secure (private) channels between the parties  
(*Secure Message Transmission*)
- Broadcast model
  - Additional *broadcast channel*



# Broadcast



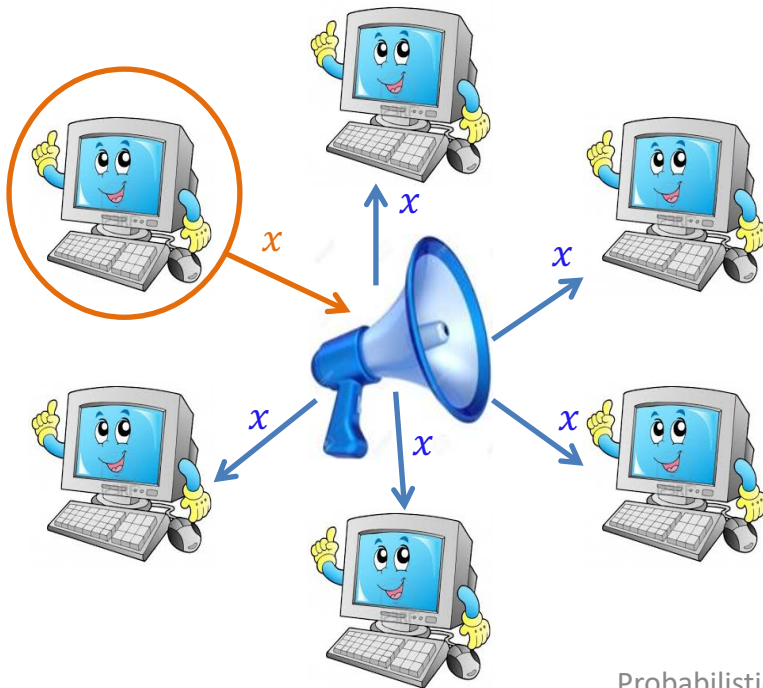


# Instantiating Broadcast Channel

## Broadcast

Sender with input  $x$

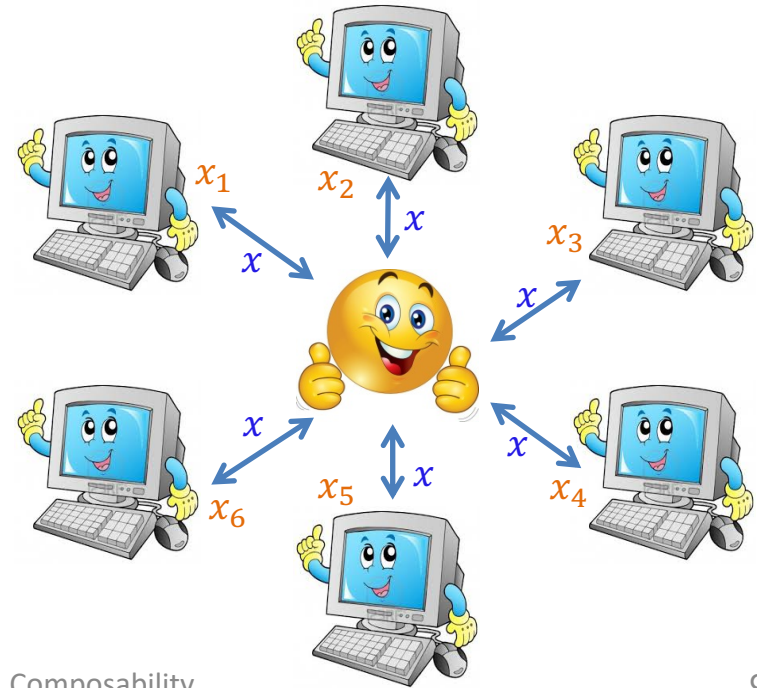
- **Agreement:** all honest parties output the same value
- **Validity:** if the sender is honest, the common output is  $x$



## Byzantine agreement

Each  $P_i$  has input  $x_i$

- **Agreement:** all honest parties output the same value
- **Validity:** if all honest parties have the same input  $x$ , the common output is  $x$



# Instantiating Broadcast Channel

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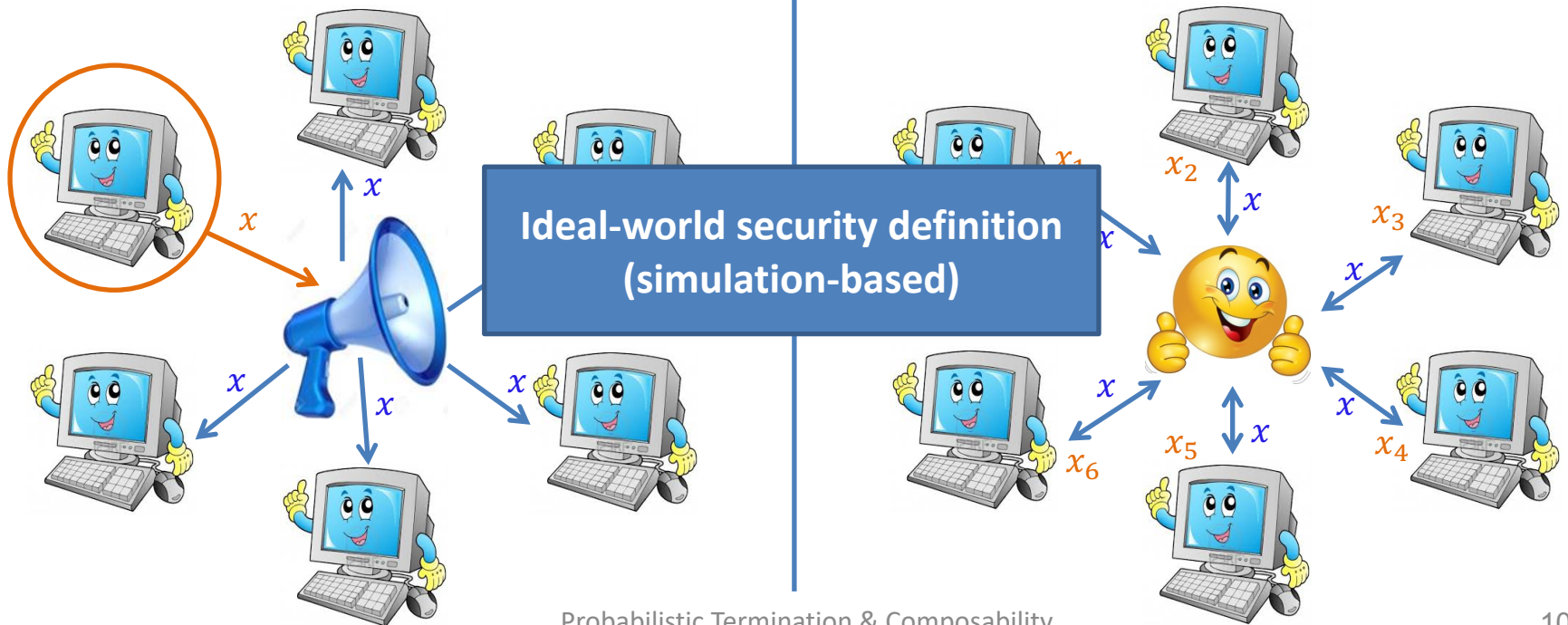
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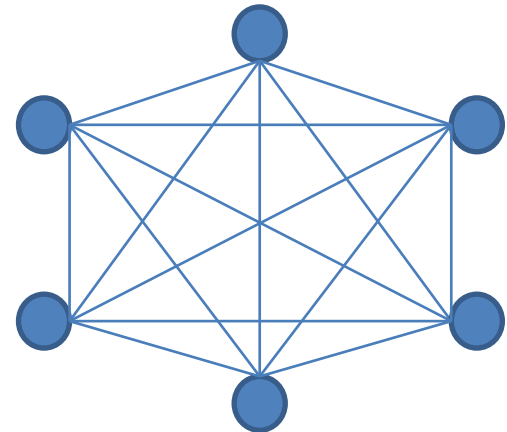
Real-world security definition  
(property-based)

Ideal-world security definition  
(simulation-based)



# Communication Model

- Point-to-point model
  - Secure (private) channels between the parties  
(*Secure Message Transmission*)
- Broadcast model
  - Additional *broadcast channel*
- Synchronous communication
  - Bounded delay
  - Global clock
  - Protocol proceeds in rounds
  - Guaranteed termination



# Broadcast and MPC: Love-Hate Relationship

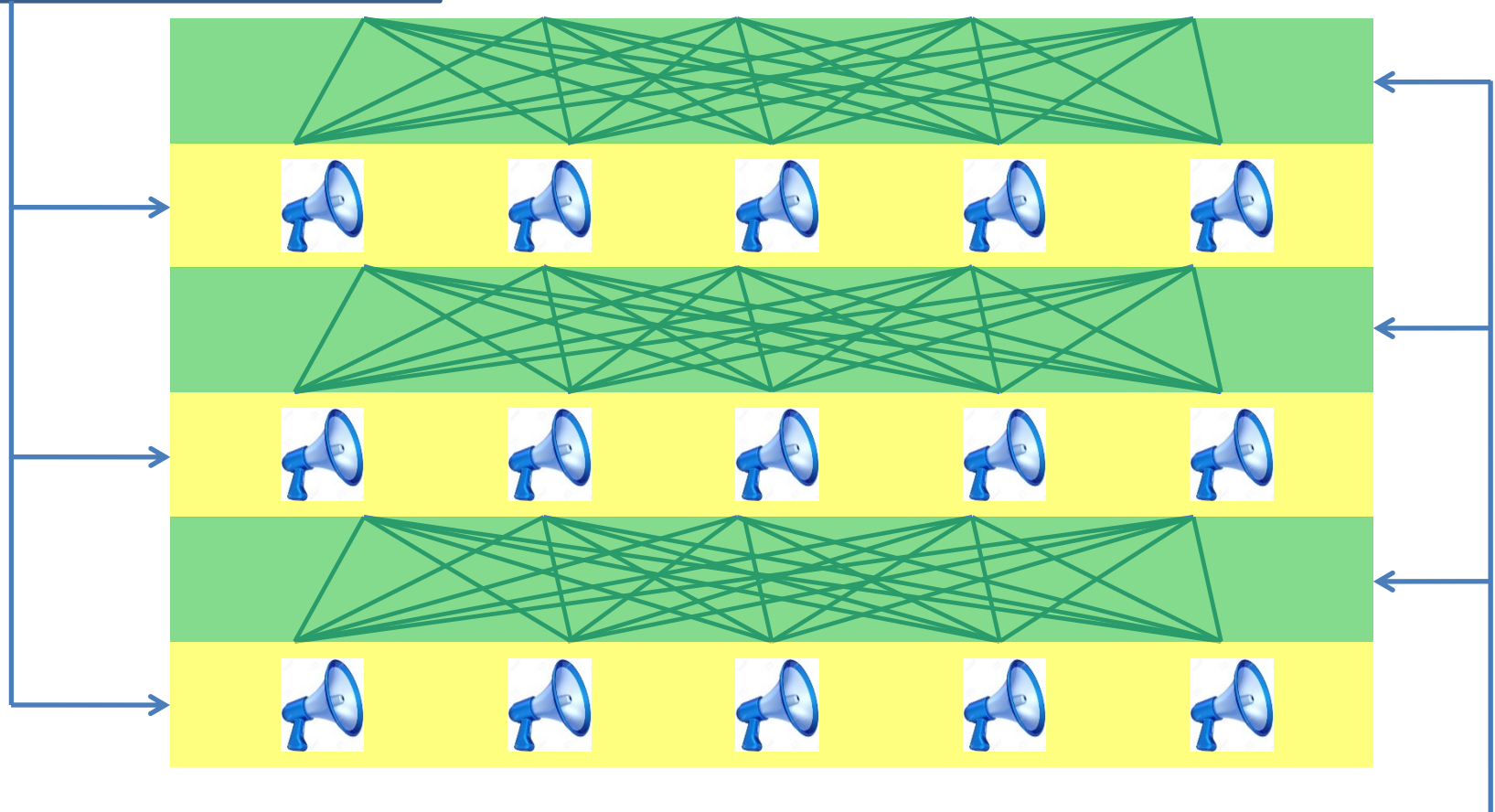


# The Love



# Protocols with Broadcast

Parallel broadcast



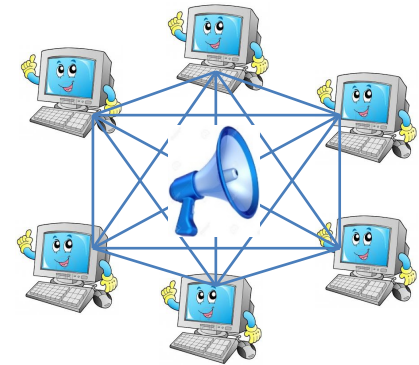
Parallel SMT

# Broadcast is Good for MPC

Everything computable can be *securely* computed

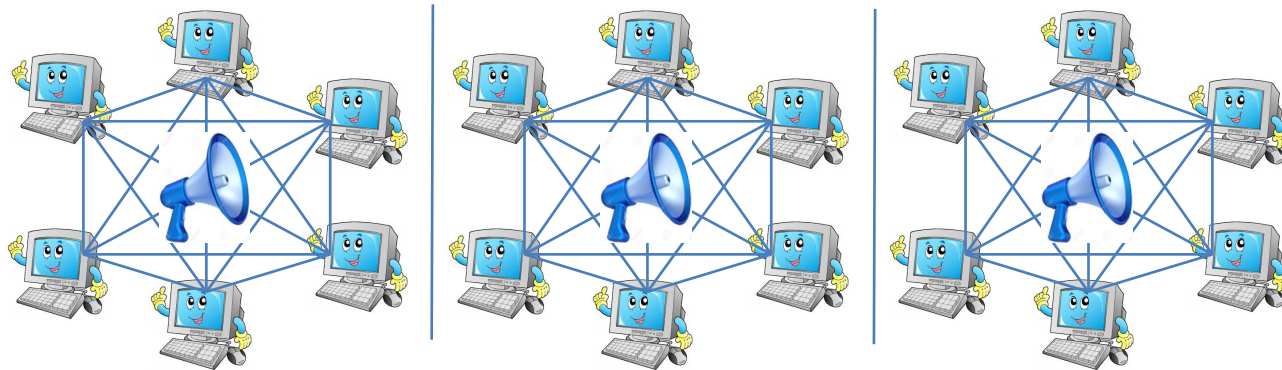
Every function can be securely computed with **guaranteed output delivery** assuming **honest majority**

- $O(\text{depth})$  rounds (info-theoretic)  
[BenOr-Goldwasser-Wigderson'88, Chaum-Crépeau-Damgård'88, Rabin-BenOr'89]
- $O(1)$  rounds (OWF)  
[Beaver-Micali-Rogaway'90, Damgård-Ishai'05]
- 2 rounds (iO, Threshold-PKI, FHE, NIZK)  
[Garg-Polychroniadu'15, Gordon-Liu-Shi'15, Cohen-shelat-Wichs'18, Benhamouda-Lin'19, Garg-Srinivasan'19, ...]



# Broadcast is *Very* Good for MPC

If  $r$ -round  $\pi$  is secure under parallel composition  
 $\Rightarrow$  poly-many parallel executions of  $\pi$  in  $r$  rounds





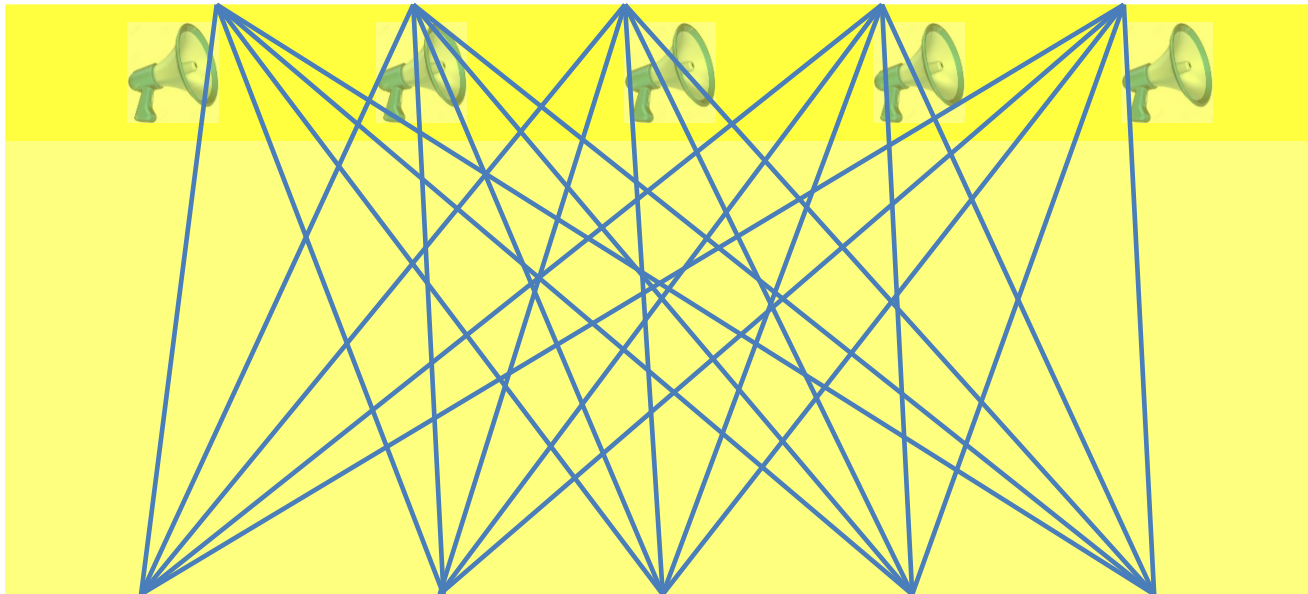
# The Hate: What if Broadcast Doesn't Exist?



# MPC Protocols w/o Broadcast



# MPC Protocols w/o Broadcast



# Protocols Implementing Broadcast

- Broadcast protocol  $\Leftrightarrow t < \frac{n}{3}$  [Pease-Shostak-Lamport'80]
- Trusted setup (PKI) required for  $t \geq \frac{n}{3}$  [Borcherdin'96]
- Some functions can be computed **without setup**  
[Cohen-Lindell'14, Cohen-Haitner-Omri-Rotem'16]



# Round Complexity of Broadcast Protocols

- **LB1:** Deterministic protocols require  $\Omega(n) (t + 1)$  rounds  
[Fischer-Lynch'82, Dolev-Strong'83, Dolev-Reischuk-Strong'90]

# Deterministic Broadcast Protocols

**The [DS82] broadcast protocol:** Assumes PKI, tolerates arbitrary number of corruptions ( $t < n$ )

- Source signs its input value and sends to all parties
- $r = 1, \dots, t+1$ :
  - If any value  $v_i \in V = \{0,1\}$  has been *newly* added to a set of accepted values, sign it and send value and signatures to everybody
  - If a value/signatures message is received by any party containing valid signatures by at least  $r$  distinct players including the sender, then accept the value and update signatures
- If only one accepted value, then the party outputs that value; otherwise a default value

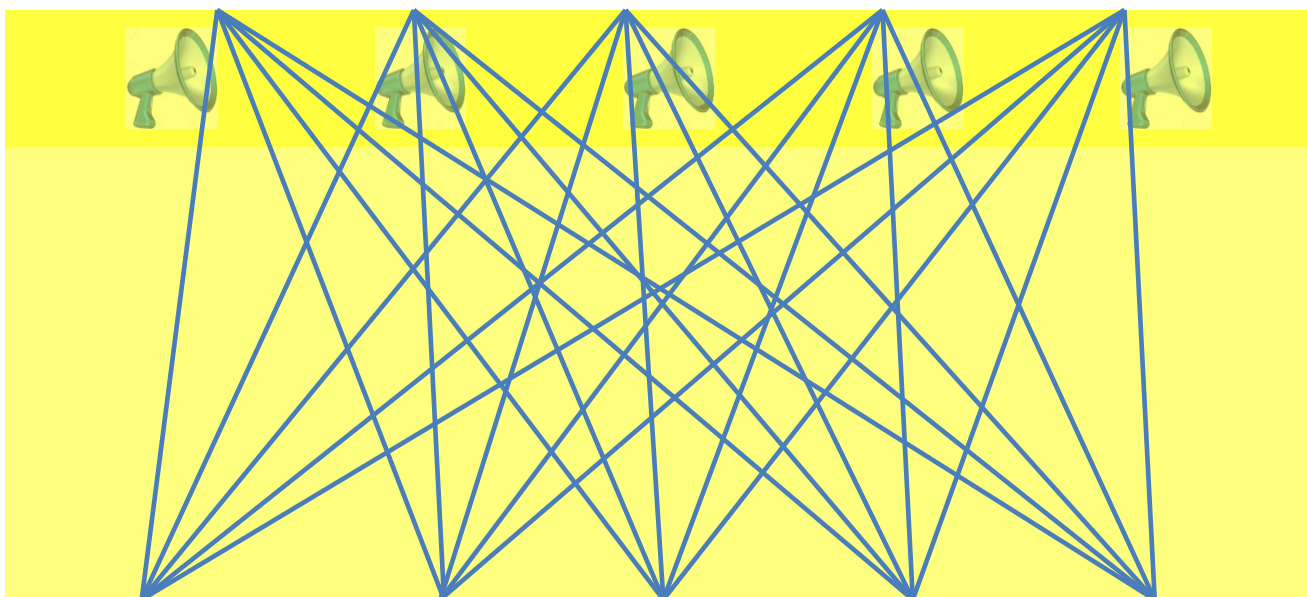
# Deterministic Broadcast Protocols (2)

- Perfect and adaptive security for  $t < n/3$   
[BGP'89, GM'93, HZ'10]
- Deterministic Termination (DT) – single output round
- Compose nicely
- Require  $O(n)$  rounds – this is inherent [FL'82, DS'82]



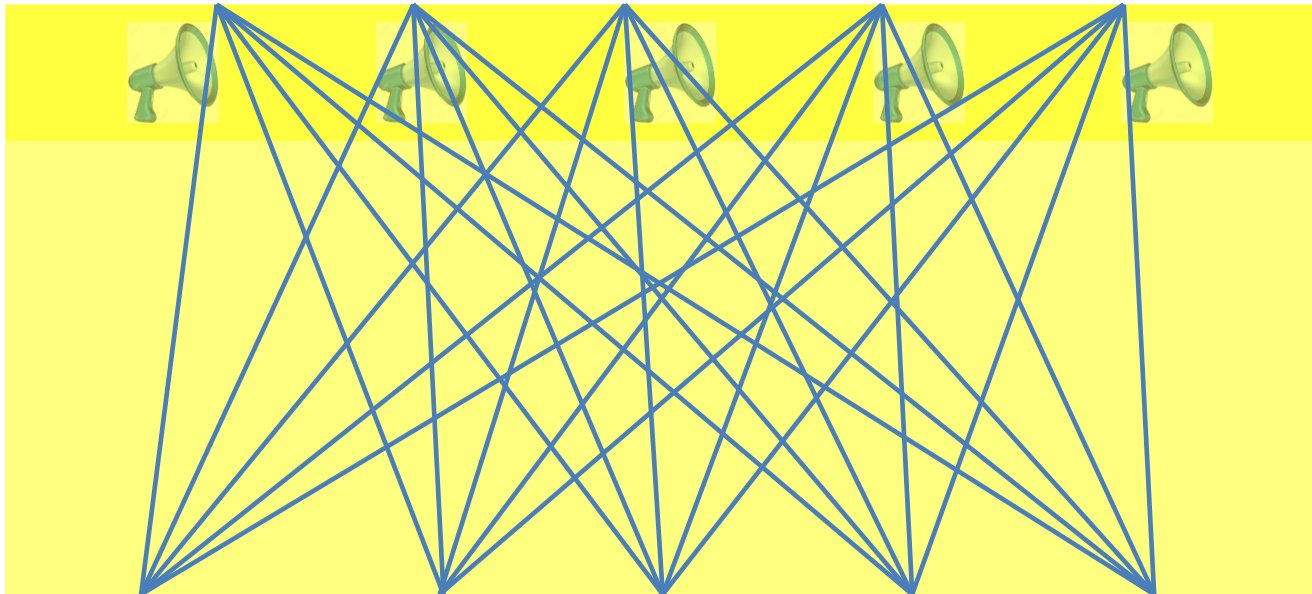
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# MPC Protocols w/o Broadcast



# Round Complexity of Broadcast Protocols

- **LB1:** Deterministic protocols require  $\Omega(n)$  ( $t + 1$ ) rounds  
[Fischer-Lynch'82, Dolev-Strong'83, Dolev-Reischuk-Strong'90]
- **LB2:** Randomized  $r$ -round protocols fail w.p.  $\frac{1}{c \cdot r^r}$   
[Chor-Merritt-Shmoys'85, Karlin-Yao'86]
- **UB:** Expected-constant rounds (guaranteed w/ polylog rounds)  
[BenOr'83, Rabin'83, Feldman-Micali'88, Fitzi-Garay'03, Katz-Koo'06]  
[Micali'17, Micali-Vaikuntanathan'17, Abraham-Devadas-Dolev-Nayak-Ren'18]  
[Abraham-Chan-Dolev-Nayak-Pass-Ren-Shi'19]

These protocols have *probabilistic termination*

- Termination round not *a priori* known
- Non-simultaneous termination



# Randomized Broadcast Protocols

Randomization can help [Ben-Or'83, Rabin'83]

Binary BA protocol [Feldman-Micali'88]

- Proceeds in phases until termination
- In each phase each party has an input bit
  - If all honest parties start the phase with the **same bit**, they **terminate** at the end of the phase
  - Otherwise, with probability  $p > 0$  all honest parties agree on the **same bit** at the end of the phase (and terminate in the next phase)
  - With probability  $1 - p$ 
    - No agreement at the end of the phase, or
    - the adversary makes **some of the honest parties terminate**; the remaining parties will terminate in the next phase

# Randomized Broadcast Protocols (2)

- [FM'88] has *Probabilistic Termination* (PT):
  - Expected  $O(1)$  rounds
  - No guaranteed termination: Statistical security (for PPT parties)
  - No simultaneous termination: Honest parties might terminate at different rounds [DRS'90]
  - All honest parties terminate in a constant window
- Extends to multi-valued BA [Turpin, Coan'84]
  - Two additional rounds
- Perfect security [Goldreich-Petrank'90]
  - Best of both worlds
- Variant for parallel broadcast [Ben-Or-El-Yaniv'03]

# Composition of PT Protocols

## Sequential composition

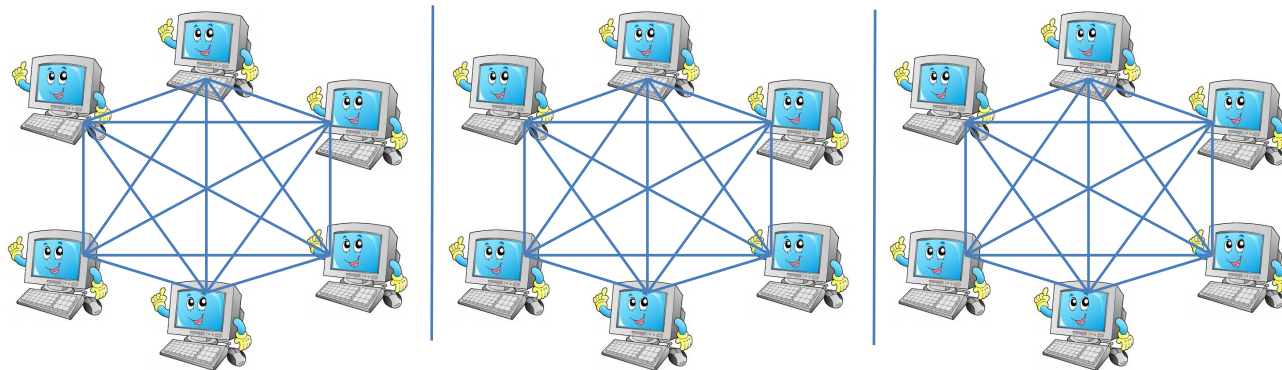
Simultaneous start

Non-simultaneous termination

⇒ **Non-simultaneous start**

## Parallel composition

Naïve parallel composition is  
***not round preserving***



# Naïve Parallel Composition

Protocol with *expected*  $O(1)$  rounds (geometric distribution)  
 $\Rightarrow n$  parallel instances take *expected*  $\Theta(\log n)$  rounds

## Example: Coin flipping

- Stand-alone coin flip:  $\Pr(\textit{heads}) = 1/2$   
 $\Rightarrow$  output is *heads* in expected 2 rounds
- Flipping in parallel  $n$  coins, each coin until *heads*  
 $\Rightarrow$  expected  $\log n$  rounds



# Broadcast Composition: Prior Work

- Sequential composition of  $m$  BA protocols in **expected  $O(m)$**  rounds  
[Lindell-Lysyanskaya-Rabin'02]
- Parallel composition of  $m$  BA protocol in **expected  $O(1)$**  rounds  
[BenOr-ElYaniv'03, Fitzi-Garay'03, Katz-Koo'06]
- All prior work use **property-based** definitions
- Security under **composition**?

What's missing?

**Main challenge:** How to simulate *probabilistic termination*

# The Setting

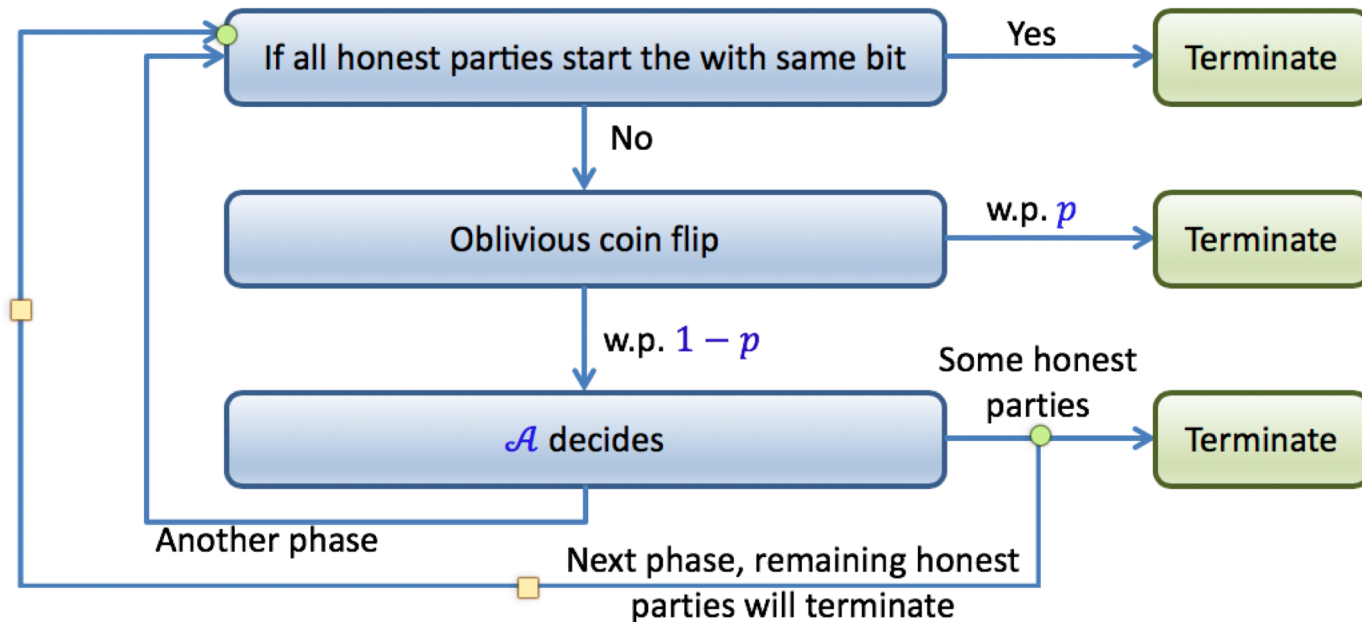
- Secure channels (SMT = Secure Message Transmission)
- Synchronous communication [Katz-Maurer-Tackmann-Zikas'13]
- [KMTZ'13] model sync. **Deterministic-Termination (DT)** protocols in UC
  - Environment **observes** in which round the protocol terminates
  - Ideal functionality is parameterized by **number of rounds**
  - Parties continuously **request output** — receive it at last round
- PT protocols are very delicate — many subtle issues not captured by [KMTZ'13]



# Randomized BA/Broadcast Protocol

[Feldman-Micali'88]

- Proceeds in phases until termination
- In each phase each party has an input bit



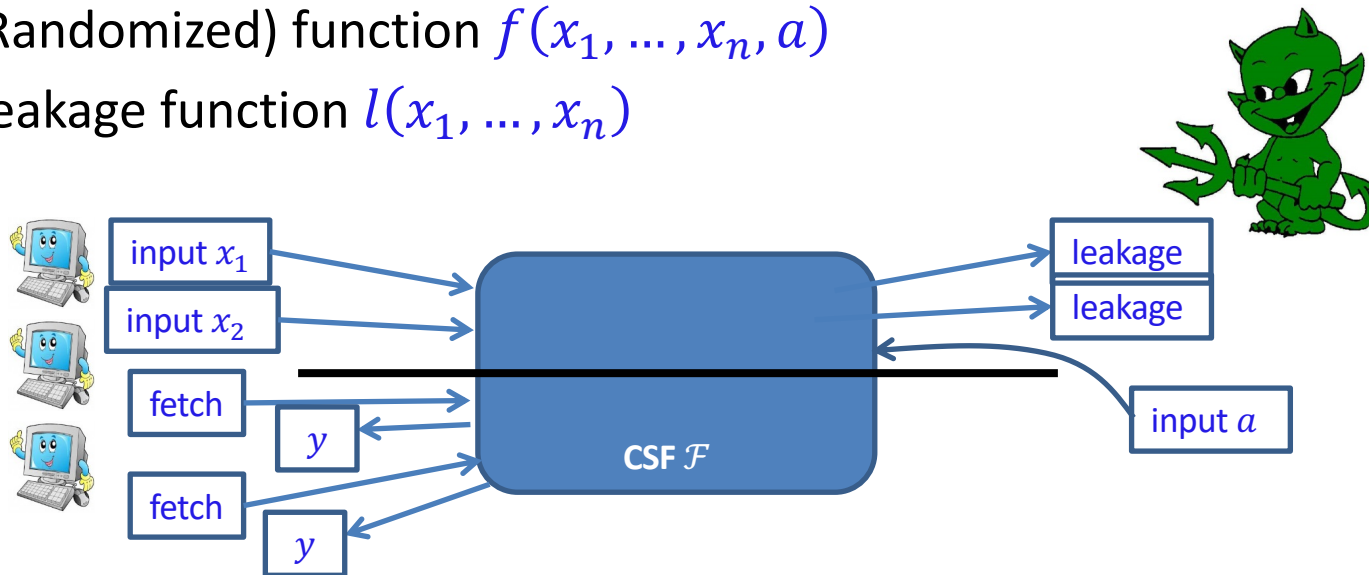
# The Framework

## Part I: Modeling Probabilistic Termination



# Canonical Synchronous Functionality

- Separate the **function** from the **round structure**
- A CSF consists of **input round** and **output round**
- Parameterized by
  - (Randomized) function  $f(x_1, \dots, x_n, a)$
  - Leakage function  $l(x_1, \dots, x_n)$



# CSF Examples

**SFE:** parties compute a function  $g$

- $f(x_1, \dots, x_n, a) = g(x_1, \dots, x_n)$
- $l(x_1, \dots, x_n) = (|x_1|, \dots, |x_n|)$

**Broadcast:**  $P_i$  broadcasts  $x_i$

- $f(x_1, \dots, x_n, a) = (x_i, \dots, x_i)$
- $l(x_1, \dots, x_n) = |x_i|$

parallel  
version

**Byzantine Agreement:**

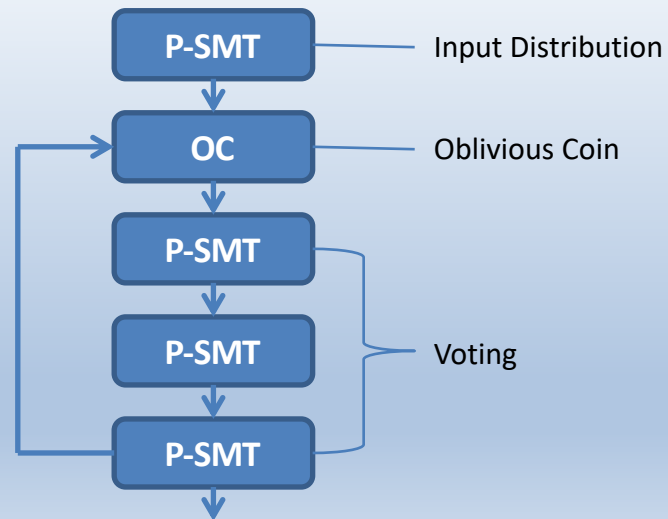
- $f(x_1, \dots, x_n, a) = \begin{cases} y & \text{if at least } n - t \text{ inputs are } y \\ a & \text{otherwise} \end{cases}$
- $l(x_1, \dots, x_n) = (x_1, \dots, x_n)$

# Synchronous Normal Form (SNF)

SNF protocol:

- In each round **exactly one** ideal functionality is called (“stand-alone composition”)
- All hybrids are (2-round) CSFs

Example: Protocol  $\pi_{RBA}$  (based on [FM'88])

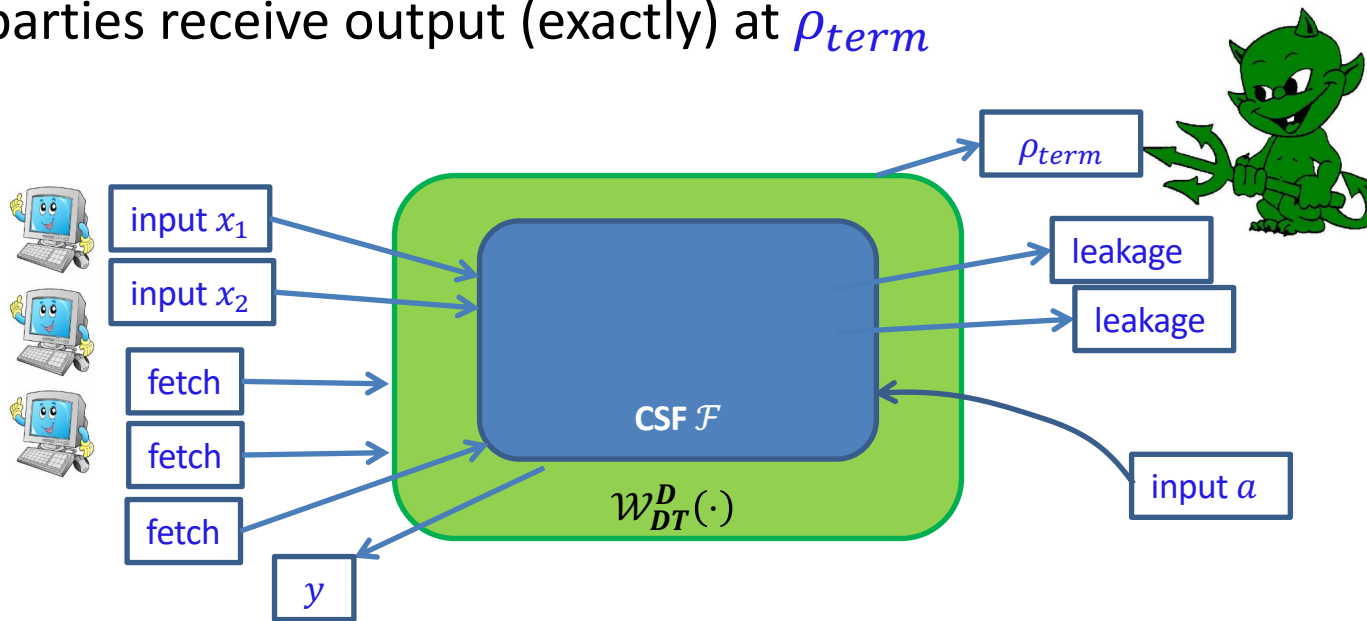


P-SMT = parallel SMT

# Extending Rounds (Deterministic Termination)

- Many protocols require more than two rounds
- Wrap the CSFs with *round-extension wrappers*
  - Sample a termination round  $\rho_{term} \leftarrow D$
  - All parties receive output (exactly) at  $\rho_{term}$

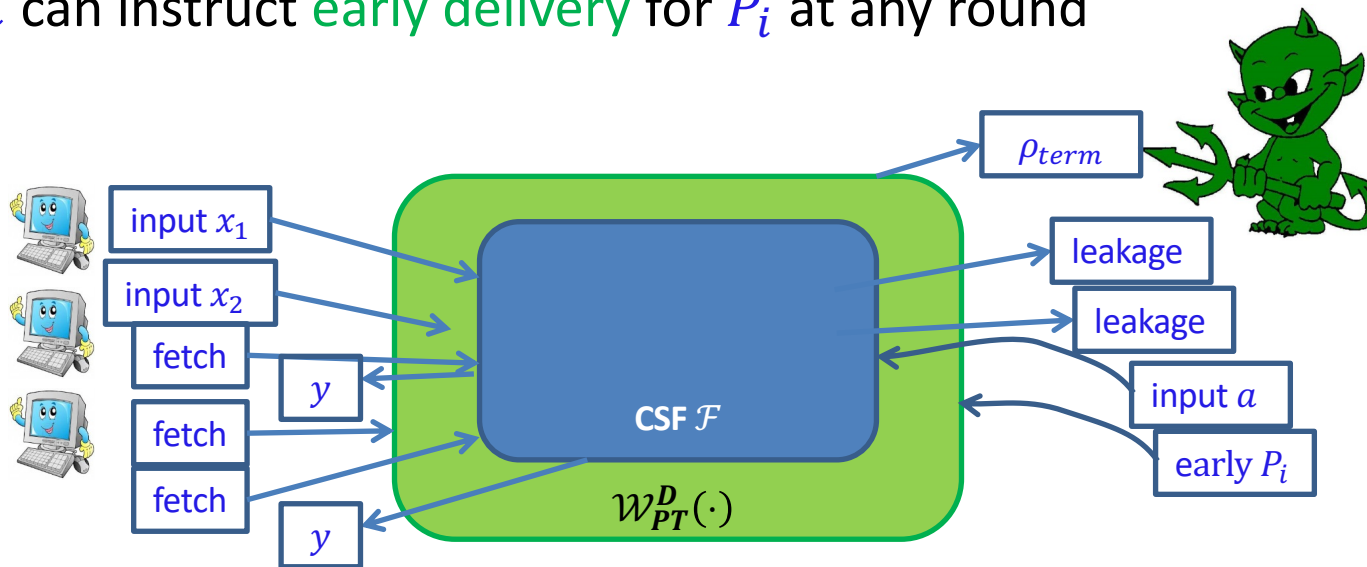
As in [KMTZ'13]



# Extending Rounds (Probabilistic Termination)

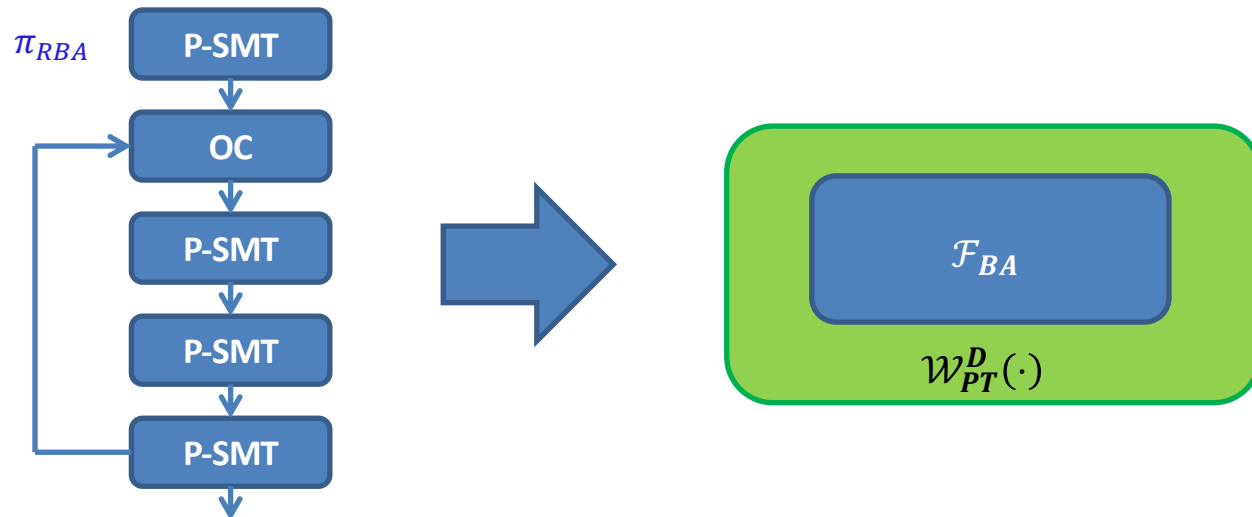
Termination round is an **upper bound**

- Sample a termination round  $\rho_{term} \leftarrow D$
- All parties receive output by  $\rho_{term}$
- $\mathcal{A}$  can instruct **early delivery** for  $P_i$  at any round



# Where Do We Stand?

Protocol  $\pi_{RBA}$  realizes  $\mathcal{W}_{PT}^D(\mathcal{F}_{BA})$  in  $(\mathcal{F}_{PSMT}, \mathcal{F}_{OC})$ -hybrid model  
assuming all parties start at the same round



$D$ : Geometric distribution with parameter  $p$  over the phases



# The Framework

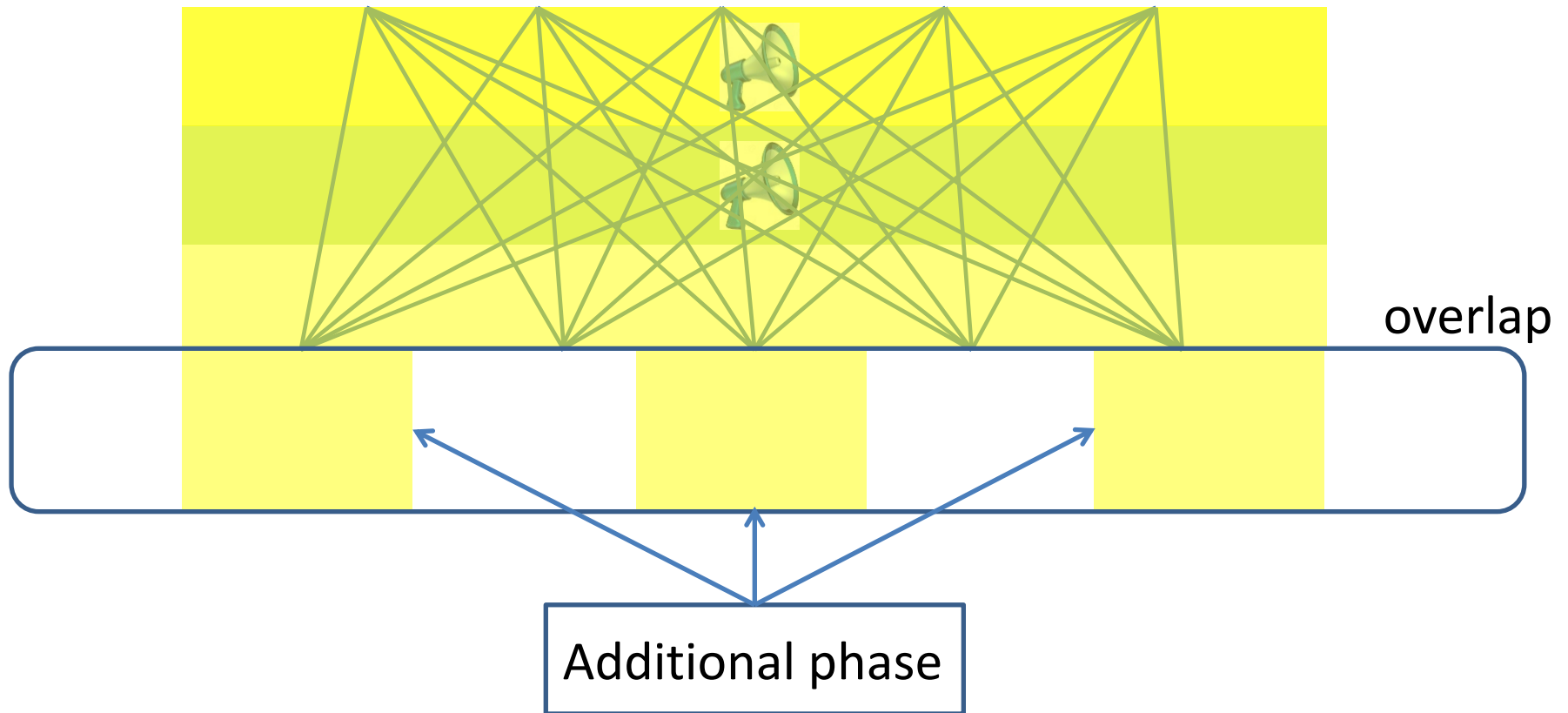
## Part II: Non-Simultaneous Start (Dealing with “slack”)



# Problem: Sequential Composition

New execution starts **after** all parties finished previous one

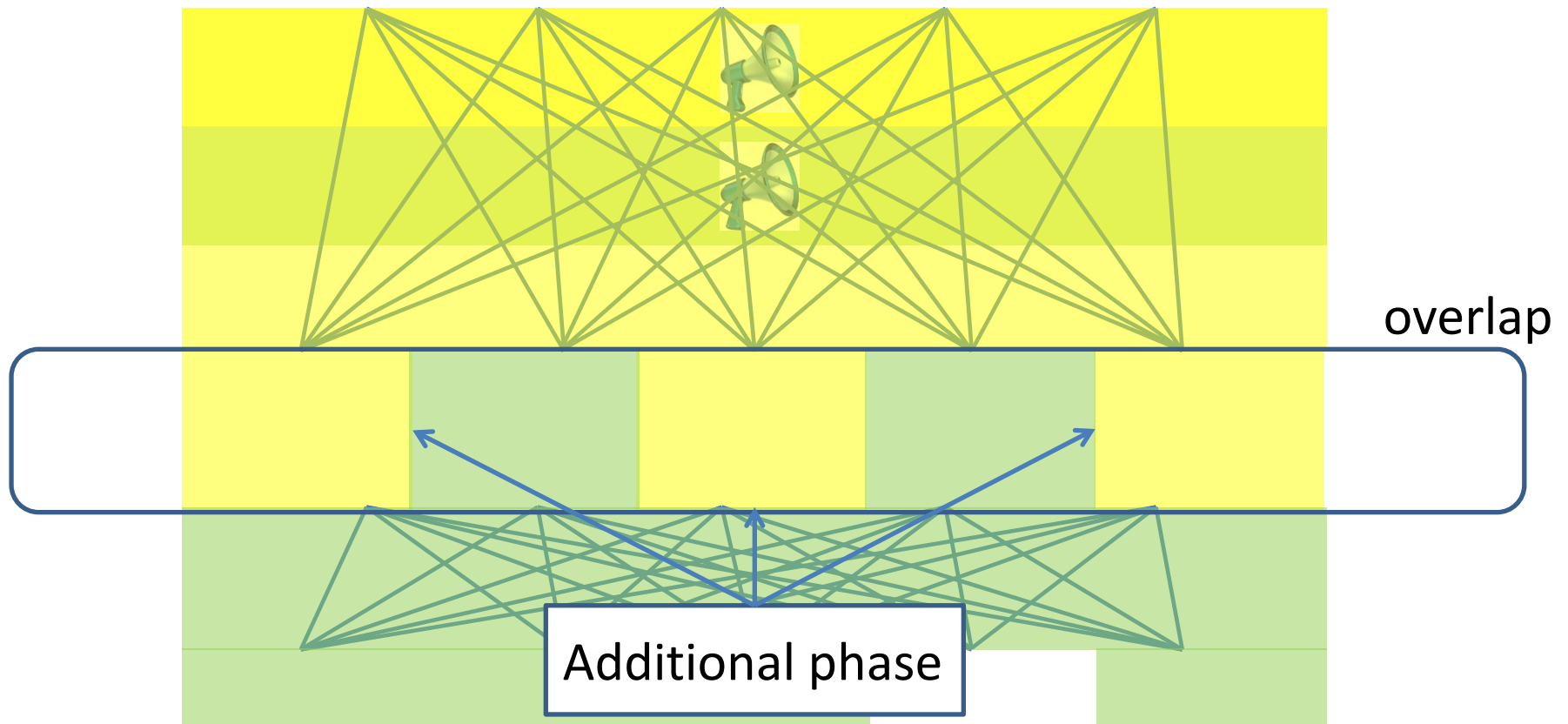
With PT protocols, **fast parties** start new execution **before** **slow parties** finished previous execution



# Problem: Sequential Composition

New execution starts **after** all parties finished previous one

With PT protocols, **fast parties** start new execution **before** **slow parties** finished previous execution



# Sequential Composition: Solutions

**Goal:**  $\ell$  sequential executions of expected  $O(1)$  rounds protocols in expected  $O(\ell)$  rounds

- Naïve solution #1: wait until re-synchronized



- Naïve solution #2:

Expand each round to  $2c + 1$  rounds

- Execution 1, start slack  $c_1 = c$ , expansion factor  $2c_1 + 1$
- Execution 2, slack  $c_2 = c(2c_1 + 1)$ , factor  $2c_2 + 1$
- Execution 3, slack  $c_3 = c(2c_2 + 1)$ , factor  $2c_3 + 1$
- After  $i$  executions, slack  $c(2c_{i-1} + 1) = O(2^{i-1}c^i)$

# Sequential Composition: Solutions (2)

**Goal:**  $\ell$  sequential executions of expected  $O(1)$  rounds protocols in expected  $O(\ell)$  rounds

- [LLR'02] – add re-synchronization points
  - Statistical security (inherent)
  - Static corruptions
  - Property-based security
- [BE'03, KK'06]
  - Simpler solutions, partial proofs (no simulation)
- We introduce a generic compiler for PT protocols
  - Supports non-simultaneous start of the protocol
  - Reduces the slackness to **1**
  - Simulation-based security – a composition theorem

# Non-Simultaneous Start: Our Solution

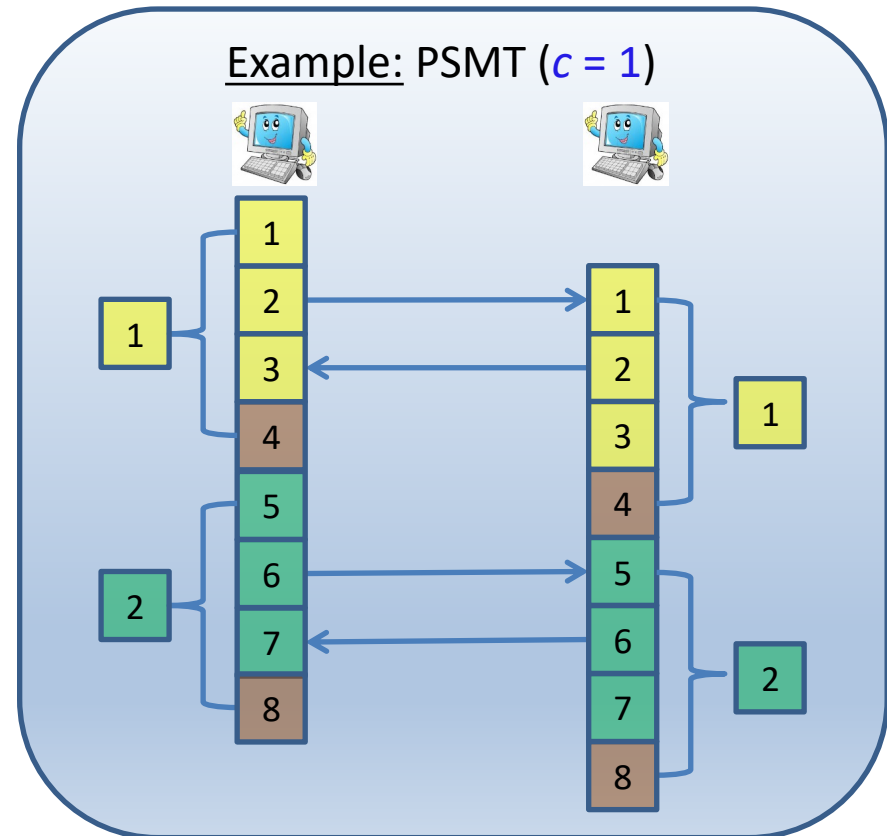
**Main idea:** Add “dummy” rounds to make overlap meaningless

Extend each round to  $3c + 1$ :

- $2c + 1$  rounds: listen
  - Round  $c + 1$ : listen & send
- $c$  rounds: wait (without listening)

## Concurrent Composition

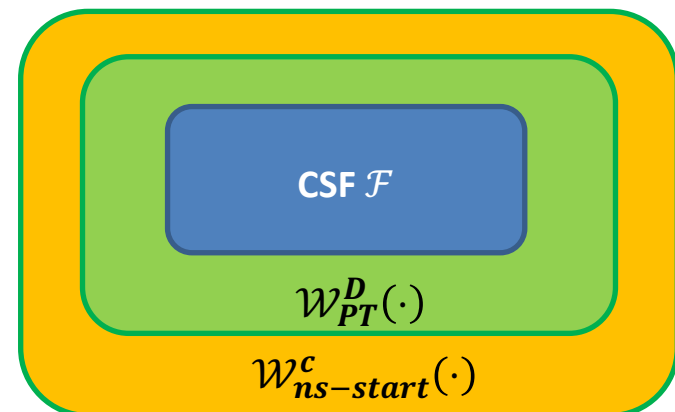
- Each party proceeds in a **locally sequential** manner
- Round  $r$  messages **after** round  $r - 1$   
**before** round  $r + 1$



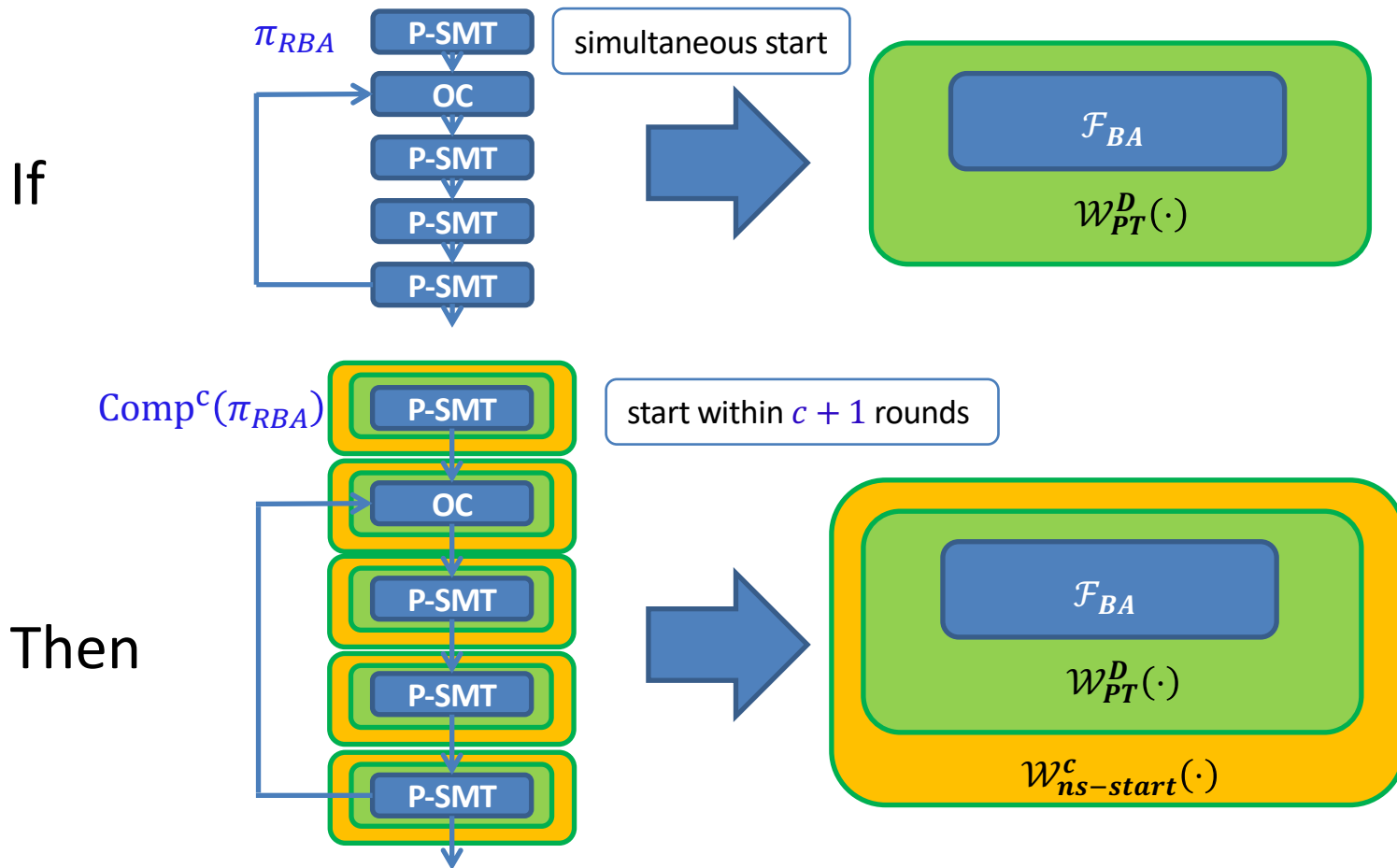
# Controlling Round Blowup

- Sequential PT hybrids might cause **exponential** round blowup
- Use “**asynchrony-reduction**” techniques [Bracha’84]
  - Upon receiving output  $v$ , send  $(ok, v)$  to all the parties
  - Upon receiving  $t + 1$  messages  $(ok, v)$ , accepts  $v$
  - Upon receiving  $n - t$  messages  $(ok, v)$ , terminates
- Reduces the asynchrony to **1 round**
- Applies to **public-output** functionalities

Captured by **non-simultaneous start** wrapper



# Composition Theorem (Illustrated)





# Applications

P-Broadcast

can be realized over P2P channels in **expected  $O(1)$**  rounds

Recipe for MPC:

- 1) Construct protocol assuming **broadcast channel**
- 2) Replace broadcast channel using **PT parallel broadcast protocol**

SFE

can be realized over P2P channels

- Info-theoretic in **expected  $O(\text{depth})$**  rounds
- Assuming OWF in **expected  $O(1)$**  rounds



# Composition of *Arbitrary* PT Protocols



# Arbitrary PT Protocols

## Problem:

The new MPC protocols have probabilistic termination  
(Naïve parallel composition not round preserving)

Solutions for **broadcast** crucially  
rely on its **privacy-free** nature

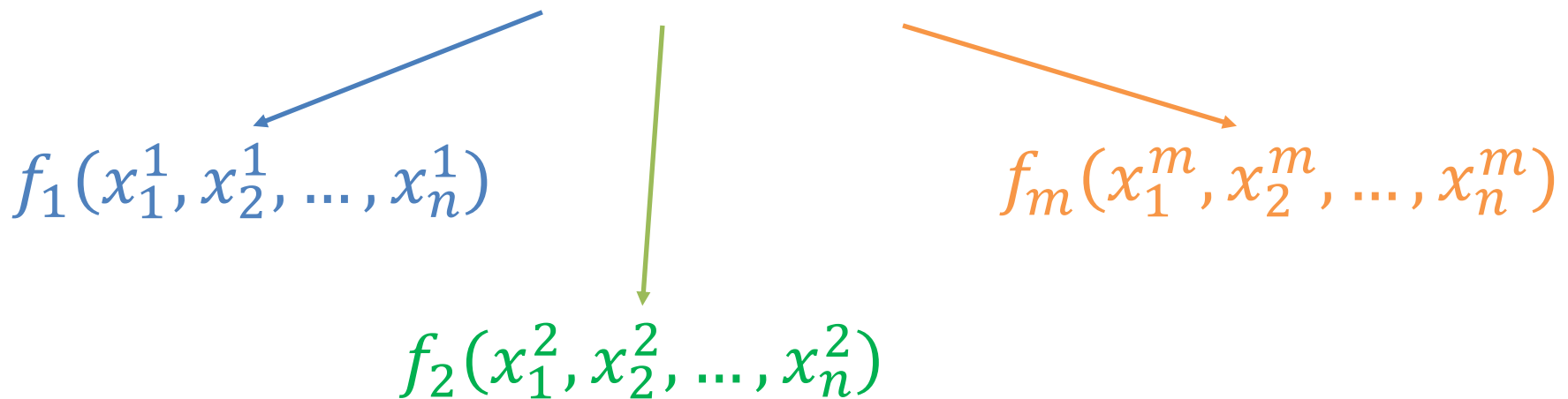
Can parallel composition of *arbitrary*  
PT protocols be round-preserving?

# Parallel Composition of Functions

Given  $n$ -party functions  $f_1, f_2, \dots, f_m$

denote by  $f_1 \parallel f_2 \parallel \dots \parallel f_m$  the following function:

- Each  $P_i$  has input  $\mathbf{x}_i = (x_i^1, x_i^2, \dots, x_i^m)$
- Output is  $\mathbf{y} = (y_1, y_2, \dots, y_m)$



# Arbitrary PT Protocols (2)

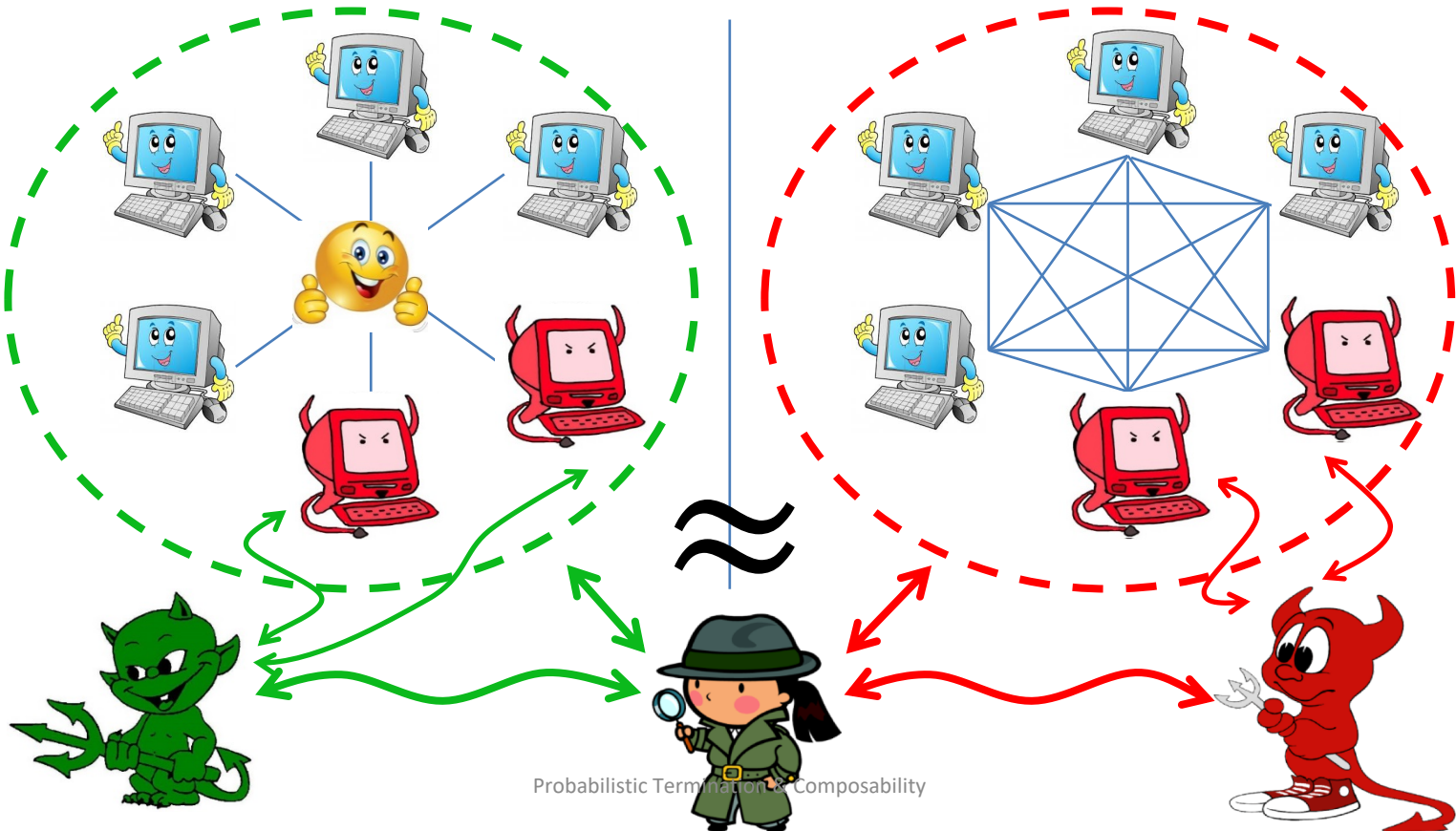
Can parallel composition of arbitrary  
PT protocols be round-preserving?  
In a **black-box** way?

BB w.r.t. **function**  
[Rosulek'12, IKPSY'16]

BB w.r.t. **protocol**  
[IKOS'07, IKPSY'16]

# Synchronous MPC [KMTZ'13, CCGZ'16]

- Ideal world captures round complexity of  $\pi$
- Trusted party samples  $r_{term} \leftarrow D = D(\pi)$
- Parties continuously **ask** for output (receive by  $r_{term}$ )
- $\mathcal{S}$  can instruct **early delivery** for specific parties



# Protocol-BB Parallel Composition



# Protocol-BB Parallel Composition

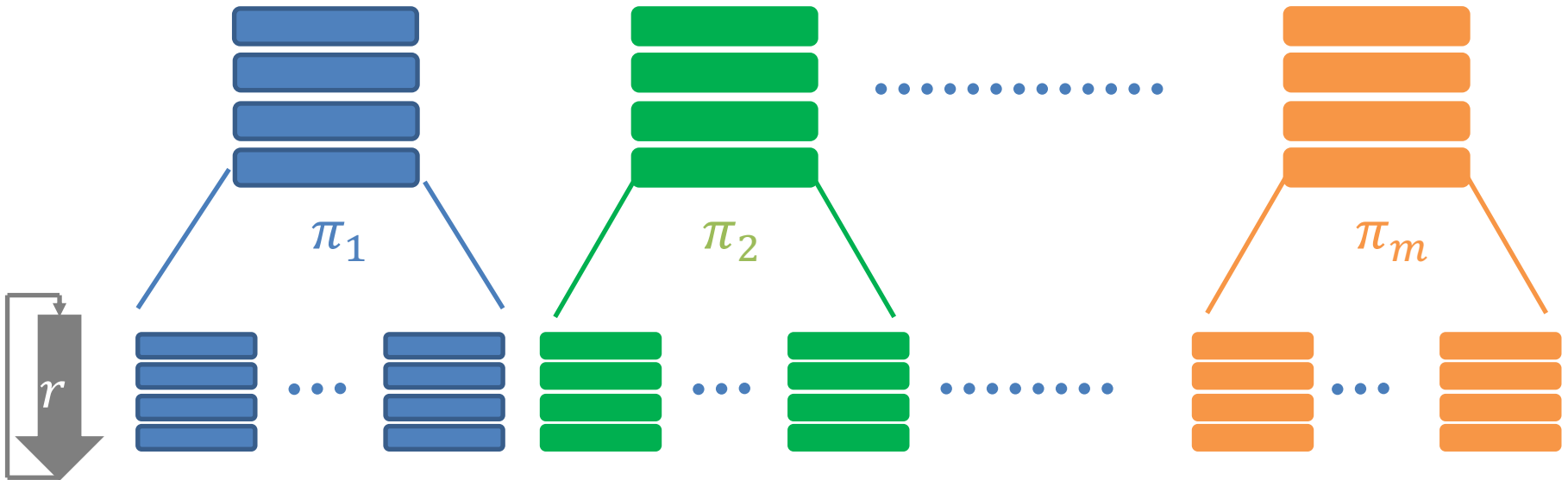
## Theorem:

- Let  $\pi_1, \dots, \pi_m$  be PT protocols realizing  $f_1, \dots, f_m$
- Then  $\pi = \text{compiler}(\pi_1, \dots, \pi_m)$  realizes  $f_1 \parallel \dots \parallel f_m$  s.t.
  - Composition is round-preserving, i.e.
$$\mathbb{E}(\pi) = O\left(\max_i \mathbb{E}(\pi_i)\right)$$
  - Black-box w.r.t. protocols  $\pi_1, \dots, \pi_m$

The compiler doesn't know the code of  $\pi_i$



# Protocol Compiler



# Prevent Multiple Inputs



Use **Setup, Commit, then Prove** functionality  
with a tweak [Canetti-Lindell-Ostrovsky-Sahai'02]  
[Ishai-Ostrovsky-Zikas'14]

# Prevent Multiple Inputs

Setup (correlated randomness)

Commit (to inputs)

Prove consistency in ZK

Prove consistency in ZK

Prove consistency in ZK

Prove consistency in ZK

Use **Setup, Commit, then Prove** functionality  
with a tweak [Canetti-Lindell-Ostrovsky-Sahai'02, Ishai-  
Ostrovsky-Zikas'14]

# Technical Challenges

Setup (correlated randomness)

Commit (to inputs)

Implement the **Setup, Commit functionality**  
in constant rounds & info. theoretic  
(using correlated randomness for broadcast)

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Commit (to inputs)

Implement the **Setup**,  
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Reactive functionalities with  
probabilistic termination

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Setup (correlated randomness)

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Implement the **Setup**,  
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Reactive functionalities with  
probabilistic termination

Extend the sequential-composition  
theorems from **[CCGZ'16]**

# Technical Challenges

Setup (correlated randomness)

Commit (to inputs)

Implement the Setup,  
in constant rounds

Reactive functionalities with  
probabilistic termination

1-to-many information-theoretic ZK  
black-box in  $\pi_1, \dots, \pi_m$  (honest majority)  
in constant rounds

Composition

# Technical Challenges

Setup (correlated randomness)

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Reactive functionalities with

Implement  
in co

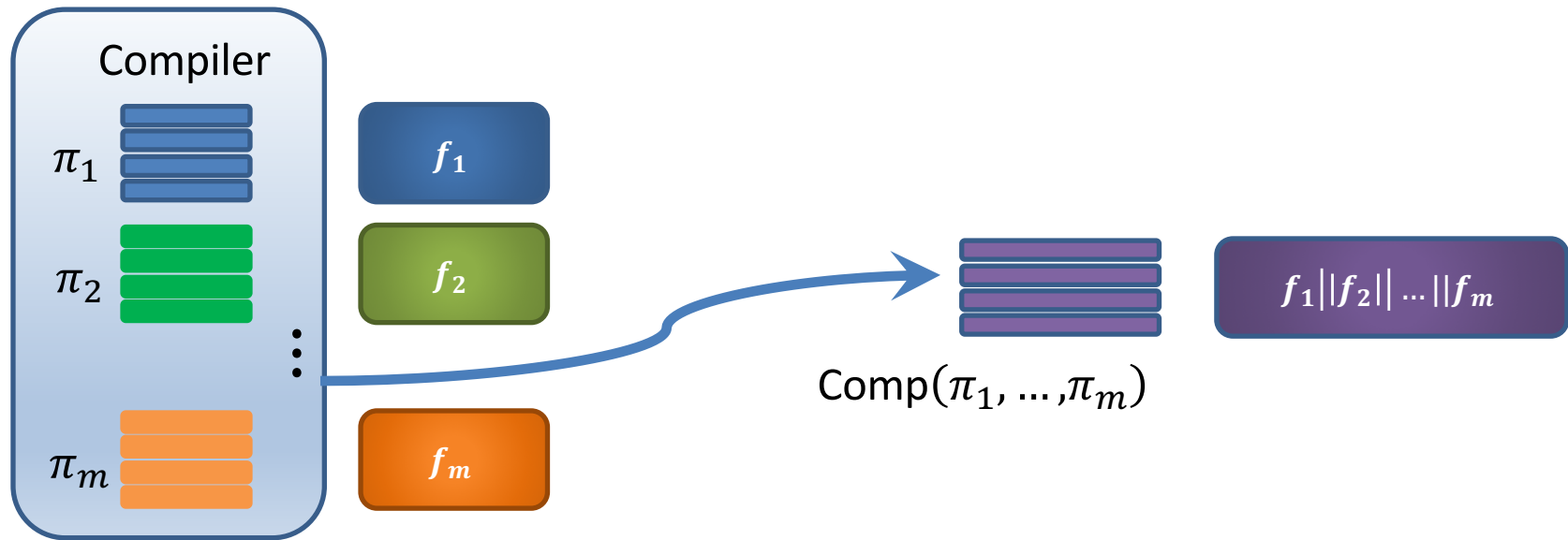
Recover from **invalid ZK** proofs  
without:

- 1) Breaching privacy  
(*A* might have learned output)
- 2) Blowing up round complexity

1-to-many  
black-box  
in constant



# Round-preserving Protocol-BB Parallel Composition

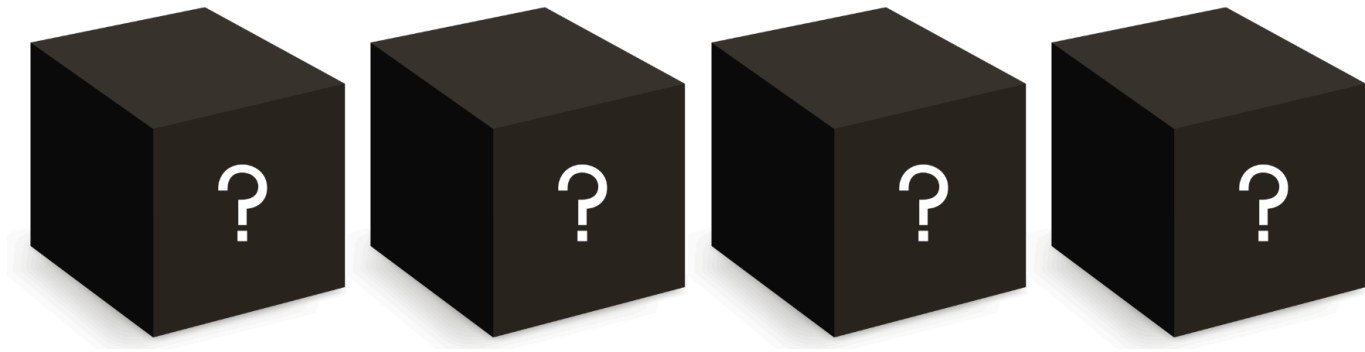


$\pi_i$  has expected  $O(1)$  rounds

$\text{Comp}(\pi_1, \dots, \pi_m)$  has expected  $O(1)$  rounds

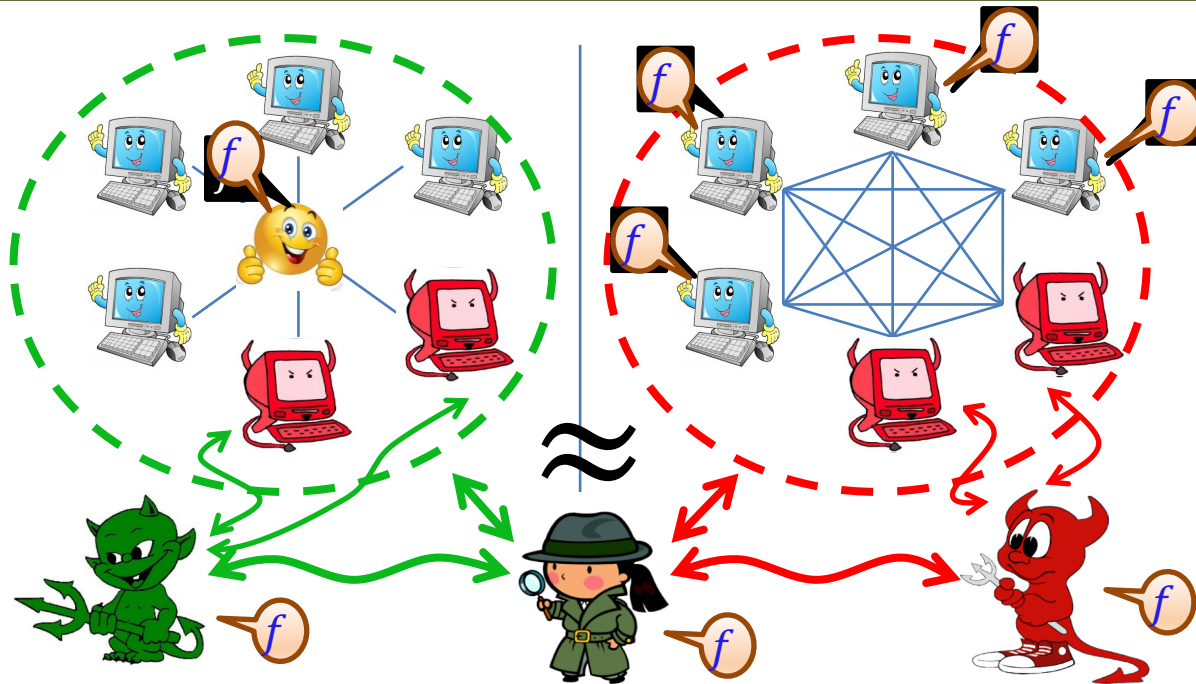
Black-box: Compiler doesn't look at the code of  $\pi_i$

# FBB Parallel Composition



# Functionally BB Protocols

Protocol  $\pi$  is **FBB protocol** for  $\mathcal{F}$  if  $\forall f \in \mathcal{F}$  protocol  $\pi^f$  securely computes  $f$

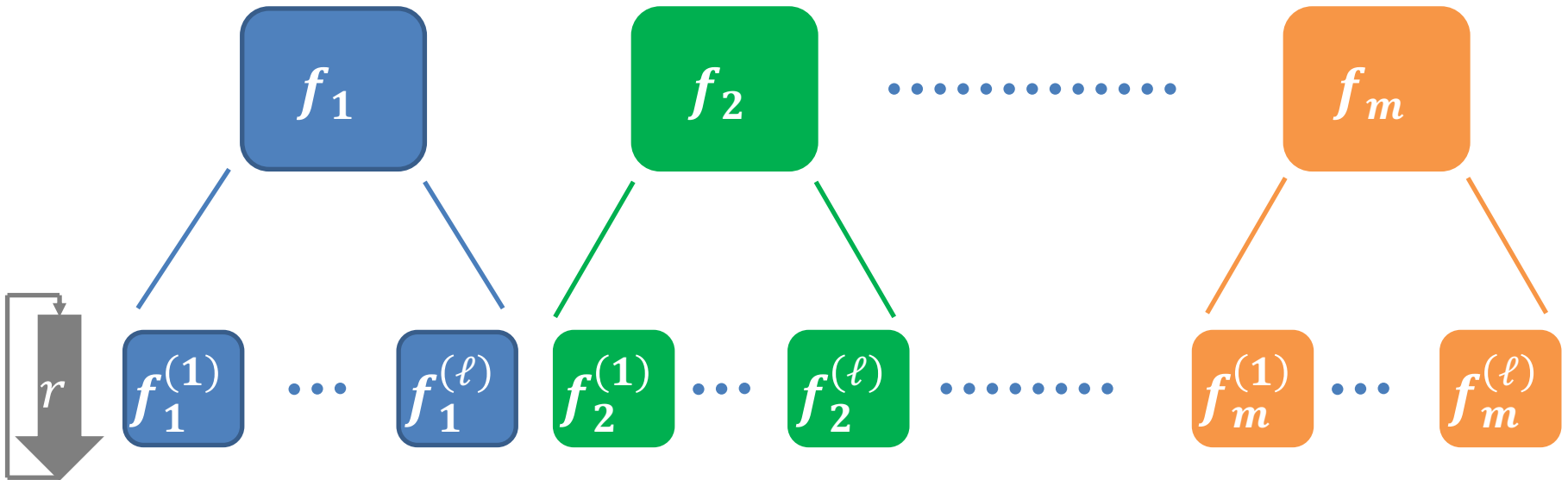


# Semi-Honest FBB Protocol

## Theorem:

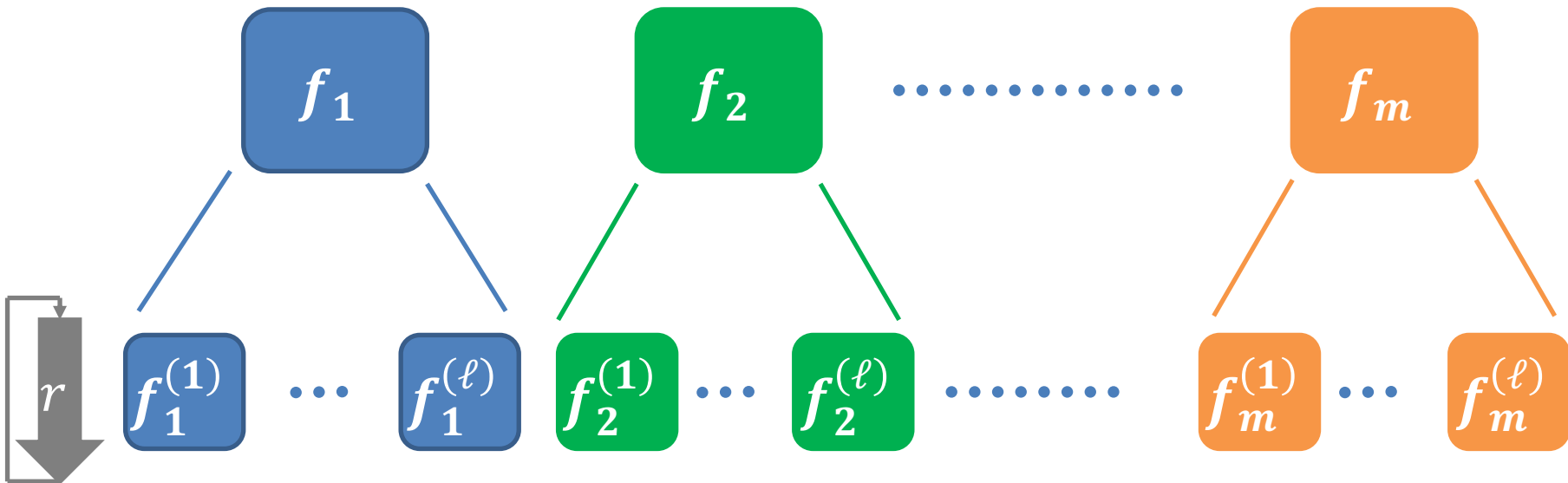
- Let  $\mathcal{F}_1, \dots, \mathcal{F}_m$  be deterministic function classes
- Consider  $(\mathcal{F}_1, \dots, \mathcal{F}_m)$ -hybrid model that  $\forall j$  computes a function  $f_j \in \mathcal{F}_j$  with **expected constant** round complexity  $\mu$
- Then  $\exists$  FBB protocol for  $\mathcal{F}_1 \parallel \dots \parallel \mathcal{F}_m$  with **expected constant** round complexity

# Semi-Honest FBB Protocol



- 1) Parties invoke  $\ell$  instances of each  $f_j$
- 2) Each  $P_i$  sends  $x_i^j$  to all instances of  $f_j$  and asks output for  $r$  rounds
- 3) If some  $P_i$  received output  $y_j$  for each  $f_j$  distribute  $(y_1, \dots, y_m)$  and halt, otherwise restart

# Semi-Honest FBB Protocol



## Proof intuition:

- ✓ Correctness
- ✓ Privacy: corrupt parties always use the same input values (semi-honest)
- ✓ Round complexity: for  $\ell = \Omega(\log m)$  and constant  $r > \mu$ , the expected number of “restarts” is constant (Markov)

# What About Malicious Adv.?

- The previous protocol is **not maliciously secure**
- The adversary can send different  $x_i^j$  and  $\tilde{x}_i^j$  to  $f_j$  and learn multiple outputs
- This is inherent for **batched parallel-composition protocols**
  - For some  $f_k$ , all parties use original inputs  $(x_1^k, \dots, x_n^k)$  in two calls to the trusted party
  - Possibly in different rounds  $\rho$  and  $\rho'$
  - Possibly for computing different  $f_j$  and  $f_{j'}$

# Functionally BB Parallel Composition

There exist function classes  $\mathcal{F}_1, \dots, \mathcal{F}_m$  s.t. for protocols computing  $\mathcal{F}_1 \parallel \dots \parallel \mathcal{F}_m$  in  $(\mathcal{F}_1, \dots, \mathcal{F}_m)$ -hybrid model, either:

- Correctness is lost
- Privacy is broken
- Round complexity blows-up

Using known techniques

Want:

$\mathcal{F}_1 \parallel \dots \parallel \mathcal{F}_m$

Have:

$\mathcal{F}_1$

$\mathcal{F}_2$

...

$\mathcal{F}_m$



# Summary & Open Questions

- We considered composability of cryptographic protocols with *probabilistic termination*
- Framework for designing cryptographic protocols in stand-alone fashion and compiler to fast composition in the UC framework
  - P-Broadcast can be realized over P2P channels in **expected  $O(1)$**  rounds
  - Recipe for MPC:
    - 1) Construct protocol assuming **broadcast channel**
    - 2) Replace broadcast channel using **PT parallel broadcast protocol**
  - MPC can be realized over P2P channels
    - Info-theoretic in **expected  $O(\text{depth})$**  rounds
    - Assuming OWF in **expected  $O(1)$**  rounds

# Summary & Open Questions (2)

- Parallel composition of *arbitrary* PT protocols
  - **Black-box w.r.t. protocols:** Round-preserving compiler for parallel composition
  - **Functionally block-box (FBB) protocols:**
    - No round-preserving parallel composition (using known techniques)
    - Round-preserving parallel composition with semi-honest security

## Open:

- Does there exist a round-preserving FBB protocol for parallel composition of PT protocols?
- Partially synchronous/asynchronous PT protocols
- Dishonest-majority PT protocols

# References

- R. Cohen, S. Coretti, J. Garay and V. Zikas. “Probabilistic Termination and Composability of Cryptographic Protocols,” *J. Cryptology* 21(3): 690-741 (2019). Preliminary version in *Crypto 2016*. (See also ePrint)
- R. Cohen, S. Coretti, J. Garay and V. Zikas. “Round-preserving Parallel Composition of Probabilistic-Termination Protocols,” *ICALP 2017*: 37:1-37:15. (See also ePrint)

Thank You